

08/23/01



JC490 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. GEN10 P-338A

First Inventor John K. Roberts

Title Method of Making a Semiconductor Radiation  
Emitter Package

Express Mail Label No. EL688541455US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 74]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 16]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Authorization to Charge Deposit Acct...  
Associate Power of Attorney.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09 / 426,795

Prior application information

Examiner A. Williams

Group Art Unit 2826

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

028,469

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Terry S. Callaghan

Registration No. (Attorney/Agent)

34 559

Signature

Date

8-23-2001

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Atty. Docket No. GEN10 P-338A  
Express Mail No. EL688541455US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John K. Roberts et al.  
For : METHOD OF MAKING A SEMICONDUCTOR RADIATION  
EMITTER PACKAGE

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

FEE TRANSMITTAL FORM

I. Fee Calculation (37 CFR §1.16):

Number Filed	Number Extra		Rate	Basic Fee
			\$710.00	\$710.00
Total Claims: 19 - 20 =	0	X	\$18.00	\$000.00
Independent Claims: 2 - 3 =	0	X	\$80.00	\$000.00
Multiple Dependent Claims (if applicable):		+	\$270.00	\$000.00
Filing Fee Calculation:				\$710.00
Reduction by 50% for filing by small entity:				\$000.00
Total:				\$710.00

II. Fee Payment Being Made at This Time:

Attached is the filing fee in the amount of: \$710.00

Total fees enclosed: \$710.00

Attached is a check in the amount of: \$710.00

III. Authorization to Charge Additional Fees:

The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application or credit any overpayment to Account No. 16-2463, including 37 CFR §§1.16(a), (f) or

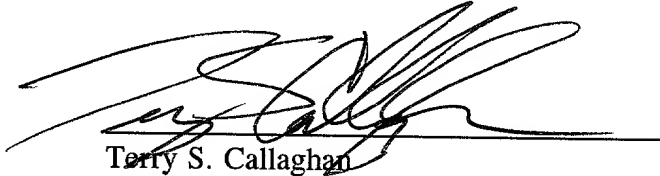
Applicants : John K. Roberts et al.  
For : METHOD OF MAKING A SEMICONDUCTOR RADIATION  
EMITTER PACKAGE  
Page : 2

(g) (filing fees); 37 CFR §§1.16(b), (c) and (d) (presentation of extra claims); and 37 CFR §§1.17 (application processing fees). A duplicate of this sheet is attached.

Respectfully submitted,

JOHN K. ROBERTS ET AL.

By: Price, Heneveld, Cooper,  
DeWitt & Litton



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8-23-2001  
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TSC/rsw